

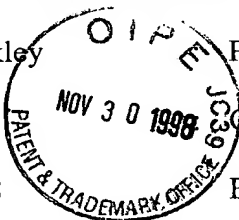
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PATENT

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant: Kenneth L. Brinkley Paper No:  
Serial No. 09/177,047 Group Art Unit: 1742  
Filed: October 28, 1998 Examiner:  
For: FIREARM MONITORING DEVICE



AMENDMENT

**Box Non-Fee Amendment**

THE ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Dear Sir:

In response to Form PTO-1533, Notice of Missing Parts, and Form PTO-2014, Reissue Supplement to Notice to File Missing Parts of Application, please be advised that the original application has not been assigned. Consequently, no Consent of Assignee is required.

Respectfully submitted,

Kenneth L. Brinkley

By Edwin R. Acheson  
Edwin R. Acheson  
Registration No. 31,808  
Attorney for Applicant(s)

FROST & JACOBS LLP  
2500 PNC Center  
201 East Fifth Street  
Cincinnati, Ohio 45202  
(513) 651-6708

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Box Non-Fee Amendment, The Assistant Commissioner for Patents, Washington, D.C., 20231, this 25<sup>th</sup> day of November, 1998



Carol Ann Miller  
Carol Ann Miller

594691.01

NOV 16 1998



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/177,047	10/22/98	BRINKLEY	K

FROST & JACOBS  
2500 PNC CENTER  
201 EAST FIFTH STREET  
CINCINNATI OH 45202

0242/1110



NOT ASSIGNED

1742

DATE MAILED: 11/10/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**

**Filing Date Granted**

*Missing Parts due 1/10/99*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of **\$65.00** for a small entity in compliance with 37 CFR 1.27, or **\$130.00** for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

**If all required items on this form are filed within the period set above, the total amount owed by applicant as a**  
☒ **small entity (statement filed)** ☐ **non-small entity is \$** 0

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☒ 9. OTHER: See Reissue Notice

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

NWG

NOV 16 1998



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

09/177,047 10/22/98 BRINKLEY

Application Number:

Application to Paper Number:

0242/1110

FROST & JACOBS  
2500 PNC CENTER  
201 EAST FIFTH STREET  
CINCINNATI OH 45202

NOT ASSIGNED

**REISSUE SUPPLEMENT TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION**

1742

11/10/98

This Reissue Supplement is an attachment to:

- ☒ "Notice to File Missing Parts of Application" Filing Date Granted (PTO-1533)  
☐ "Notice to File Missing Parts of Application" No Filing Date Granted (PTO-1532)

The item(s) indicated below as missing must be filed within the period for reply to the attached Notice to File Missing Parts of Application to avoid abandonment.

The following items are required to complete the reissue application:

- ☒ Consent of the assignee is missing. 37 CFR 1.172 requires that the reissue oath/declaration be accompanied by the written consent of all assignees.  
☒ Assignee's statement under 37 CFR 3.73(b) establishing ownership of the patent is missing. 37 CFR 1.172 requires that all assignees consenting to the reissue establish their ownership interest in the patent by filing in the reissue application a statement in accordance with 37 CFR 3.73(b).

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Initial Patent Examination Division (703) 308-1202